NPCC ERA “Filtration” Diagram

NERC STANDARDS UNIVERSE → APPLICABLE STANDARDS (your size may vary) → NERC/NPCC FOCUS AREAS → SCOPE of MONITORING ENGAGEMENT (preliminary) → SCOPE of MONITORING ENGAGEMENT (final) → Engagement Scheduling

Risk Elements/CMEP Implementation Plans (NERC/NPCC) → Inherent Risk Assessment → Internal Controls Evaluation (voluntary)

NERC Functional Registry

AUDIT SCOPE (1-90 days) → SPOT CHECK → GUIDED SELF CERTIFICATION

This is the ideal sequence of actions for an entity scheduled for engagement in 2015