NPCC First Quarter 2018 CMEP Report

Registration

Snapshot Total
- Total registered entities: 213
- Total Functions: 448

First Quarter Activities
- Add Function to NCR: 2
- Delist NCR From Registry: 1
- Add New NCR to Registry: 3
- Change Name: 0

Entity Risk Assessment

Snapshot Total
- Total IRAs scheduled and not completed for 2018: 54 O&P IRA updates will be performed for the 54 entities on the O&P audit schedule.
- Total ICEs scheduled for 2018 (tentative): 4 CIP and 4 O&P

First Quarter Activities
- Total 2018 IRAs completed last quarter: 10
- Total IRAs completed in 2018: 10
- Total 2018 ICEs completed last quarter: 2
- Total ICEs completed in 2018: 2

Monitoring

Snapshot Total
- Total offsite Audits scheduled for 2018: 50 O&P; 14 CIP
- Notifications issued for 14 Off-site O&P; 8 CIP Audits
- Total onsite Audits scheduled for 2018: 4 O&P; 6 CIP
- Notifications issued for 2 On-site O&P; 3 CIP
- Total offsite Audits/Spot Checks completed in 2017: 34 O&P; 11 CIP
- Total Guided Self-Certifications scheduled for 2018: 143 O&P

First Quarter Activities
- Total 2018 on-site Audits completed last quarter: 1
- Total 2018 off-site Audits completed last quarter: 6
- Total 2018 Audit Reports issued last quarter: 6
- Total 2018 Guided Self-Certifications completed last quarter: 39
- Total 2018 Spot Check completed last quarter: 4.

Enforcement

Snapshot Total
- Total Enforcement Items in Queue (all jurisdictions): 223

First Quarter 2018 Activities
• Total Compliance Exceptions filed first quarter 2018 : 19
• Total FF Ts/SICMs filed first quarter 2018: 4
• Total SNOPs filed first quarter 2018 : 0
• Total NOPs filed first quarter 2018 : 0
• Total Dismissals first quarter 2018 : 10
• Total incoming violations first quarter 2018 (all jurisdictions): 63

Certification Activity
• Certifications scheduled for 2018: Tentatively, 3

Other Activity

Compliance Committee
• Compliance Procedures CP-06, CP-07, CP-09 were all re-approved at the March meeting and posted on the NPCC website.

CMEP Technology Tool
• The Steering Committee unanimously recommended a vendor to the Technology Leadership Team which in turn, endorsed the decision. The first step amongst the ERO is to perform a “process harmonization” between the regions and NERC. Regions are electing a subject matter expert for each compliance related activity (Self-reporting, Auditing, Periodic Data Submittals, etc.) to discuss their internal processes/procedures. The process harmonization project is expected to be completed by April of 2019.