Compliance Workshop - 2007

Introduction

Last October, NPCC held a workshop and discussed with regional participants regarding the registration and the compliance program in 2007. Earlier this year, NPCC CBRE completed the Registration Verification Survey. The information obtained from this survey will help NPCC CBRE determine entities responsible for compliance with NERC and Regional Reliability Standards. NPCC CBRE has also developed the compliance program implementation plan which includes the compliance schedule for 2007. The plan was approved and posted on the NPCC CBRE website at www.npcc-cbre.org.

NPCC CBRE is holding a workshop this year to further update regional participants regarding the 2007 compliance program and processes. Based on the information available and the work that has been accomplished to date, NPCC CBRE will discuss the result of the Registration Verification Survey and the audit program this year. NPCC CBRE will also present its implementation of the uniform Compliance Monitoring and Enforcement Program (CMEP) and participants will have the opportunity to see examples of simulated financial penalties based on the NERC Sanction Guidelines and a demonstration the online web application for submitting compliance reports and tracking compliance status as well as mitigation plan.

Workshop Date and Location

April 25, 2007 (9 AM to 4:30 PM)

At The State Room - Downtown Albany, NY
142 State Street, Albany, New York 12207
Phone: (518) 432-7773

Nearby Hotel

Crown Plaza Albany Hotel
State & Lodge Streets Albany, NY 12207
Phone: 518-462-6611 | www.cpalbany.com
For mapping, use the address: 105 State Street

Attire

Business Casual.

Registration

Advance registration is recommended. A registration form is attached. Fee to cover cost of the workshop is $100 (US). Continental breakfast and lunch will be provided. Participants will be responsible for their own hotel accommodations.

Workshop Objectives

• Result of Compliance Registration Verification
• Update on Status of ERO/MOUs
• Compliance Processes and NPCC CBRE Implementation of CMEP
• Compliance Audit Program
• Demo of Online Compliance Submittals
• Simulations of Financial Penalties

Who Should Attend

• NPCC Inc. and NPCC CBRE Members and all those who have registered or are required to register for compliance with the ERO and Regional Reliability Standards
• Independent System Operator and Power Pool staffs and committee members
• Transmission and Generation owners
• Independent Power Producers
• Control Area and Transmission System Operators and Planners
• Power Marketers
• Regulators
• Other Electric Industry Participants
• NPCC Inc. Committee, Task Force and Working Group members
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Preliminary Agenda

April 25, 2007

Continental Breakfast (8:15–9:00 AM)

9:00 – 9:15 AM  Welcome and Introduction

9:15 - 9:45 AM  NPCC Inc / CBRE / MOUs

9:45 – 10:30 AM  Overview of NERC Compliance Activities

Break (10:30–10:45 AM)

10:45 – 11:30 AM  NPCC Compliance Registry (Entity Registration)

11:30 – 12:15 PM  NPCC Implementation of the Uniform NERC CMEP

Lunch (12:15–01:00 PM)

01:00 – 1:30 PM  Actively Monitored Standards and Requirements in 2007

01:30 – 2:00 PM  Compliance Audit Program

02:00 – 3:00 PM  Demo Presentation on Web-based Compliance Application

Break (3:00–3:15 PM)

03:15 – 3:45 PM  Simulations of Financial Penalties

03:45 – 4:30 PM  Open Discussion/Wrap-up
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Registration Form

NPCC CBRE Compliance Workshop

Albany, New York

The State Room
142 State Street
Albany, New York 12207
(518) 432-7773

April 25, 2007

Name:
______________________________________________________________

Name or Nickname on Nametag:
______________________________________________________________

Title:
______________________________________________________________

Organization:
______________________________________________________________

Address:
______________________________________________________________

City/State/Zip:
______________________________________________________________

Phone: ___________________________ Fax: ___________________________

Email address: _________________________________________________

REGISTRATION FEE: $100 (US), excluding guest speakers
Payable to: Northeast Power Coordinating Council CBRE
(by check or money orders only,
No credit cards)

Send completed Registration Form along with payment to:
Northeast Power Coordinating Council CBRE
1515 Broadway 43rd floor
New York, New York 10036
Phone: (212) 840-1070
Fax (212) 302-2782
Email Address: mfeliciano@npcc.org