



CCEP 2021 Implementation Plan

The implementation of the 2021 Criteria Compliance Enforcement Program (“CCEP” or “Program”) is described in this document. The CCEP is described in the CCEP-1 Process Document that is publicly posted on the NPCC Compliance website under the “CCEP Documents” tab. ([CCEP Documents](#)). Certification Forms have been developed by the CCEP Working Group (“CCEPWG”), and approved by the Compliance Committee (“CC”) for use by Full Members to Self-Certify compliance with the identified subset of Criteria that are actively monitored as specified on the Certification Forms. Blank Certification Forms for the specified CCEP compliance year are publicly posted under the same “CCEP Documents” tab. Instructions are included in the Certification Forms to provide guidance to those Full Members obligated to submit completed certifications.

2021 Criteria Compliance Enforcement Program Schedule

The following table lists the Criteria (i.e., NPCC Directories) that will be actively monitored for the specified CCEP compliance year. The Full Members identified in Appendix A will be required to Self-Certify compliance with these Criteria/Directories. This table also specifies the due dates and certifying entities for each submittal:

Certification Forms	Due Date	Compliance Year	Certified By
Directory #8 Identification of Key Facilities	11/19/21	2021	RC
Directory #6 Reserve Sharing Group Agreements	2/18/22	2021	RSG
Directory #11 Disturbance Monitoring Equipment	2/18/22	2021	TO
Directory #1 Area Transmission Review Approvals	5/20/22	2021	PC
Directory #1 Resource Adequacy Review Approvals	5/20/22	2021	PC
Directory #4 Bulk Power System Protection Approvals	5/20/22	2021	TO

The Full Members obligated to certify for the 2021 Program are listed in Appendix A.

The schedule and study process for Reliability Assessments related to NPCC Directory #1 are not to be affected by the CCEP certification due dates as the schedule and study process for Reliability Assessments are entirely under the purview of the NPCC Task Forces. The year listed under the column, “Compliance Year”, refers specifically to the calendar year to which the signee is certifying compliance, not to the “study year” for the Reliability, or other related Assessments.

2021 CCEPWG and CC Tasks

The following tables show the tasks associated with maintaining the CCEP for the 2020 compliance year



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for both the CCEPWG and the Compliance Committee.

Included in the “CCEPWG Task” list are the dates of the Compliance Committee meetings by which the tasks are targeted for completion, and/or approval by the CC.

CCEPWG Task	Target CC Meeting
Develop 2021 Implementation Plan for presentation to CC for approval	September 2020
Revise existing, and/or develop new 2021 CCEP Certification Forms for presentation to CC for approval	September 2020
Revise, and update CCEP-1 Process Document for presentation to CC for approval	September 2020
Develop Scorecard of 2021 CCEP Certification Form submittals for use by CC members	May 2022
Develop 2021 CCEP Assessment Report for presentation to CC for approval	July 2022

Included in the “CC Task” list are the dates of the latest Compliance Committee meetings by which the listed CCEPWG-prepared materials are targeted for CC member review and approval. The table notes the RCC meetings at which the CC will present CCEP-related materials for RCC approval.

CC Meeting	CC Task	Target RCC Meeting
September 2020	CC to approve 2021 Implementation Plan in advance of presentation to RCC	December 2020
July 2022	CC to approve 2021 CCEP Assessment Report in advance of presentation to RCC	September 2022

Reference Documents

- CCEP-1 Process Document
- Compliance Committee 2021-22 Work Plan

Appendix A

2021 CCEP Full Member Obligations

<u>Directory 1</u>	<u>Directory 4</u>	<u>Directory 6</u>	<u>Directory 8</u>	<u>Directory 11</u>
1) Area Transmission Review Approvals	Bulk Power System Protection Approvals Due: 5/20/22 (TO)	Reserve Sharing Group Agreements Due: 2/18/22 (RSG)	Identification of Key Facilities Due: 11/19/22 (RC)	Disturbance Monitoring Equipment Due: 2/18/22 (TO)
2) Resource Adequacy Review Approvals (2 Forms) Both forms due: 5/20/22 (PC)				
HQT	CH	ISONE	HQT	CH
IESO	CMP	NBP	IESO	CMP
ISONE	CONED	NSPI	ISONE	CONED
NBP	EVERSOURCE		NBP	EVERSOURCE
NSPI	HO		NYISO	HO
NYISO	HQTE			HQTE
	LIPA			LIPA
	NGUSA			NGUSA
	NBP			NBP
	NHT			NHT
	NYPA			NYPA
	NYSEG			NYSEG
	NSPI			NSPI
	ORU			ORU
	RGE			RGE
	UIC			UIC
	VT			VT