



NPCC Compliance Committee Scope of Work

Committee Mission

The Compliance Committee (CC) of the Northeast Power Coordinating Council, Inc. (NPCC) is a means for stakeholders to provide objective stakeholder input to the NPCC risk-based Compliance Monitoring and Enforcement Program (CMEP) and the NPCC Criteria Compliance Enforcement Program (CCEP). The CC also provides a collaborative forum for NPCC Staff to share information on NPCC processes in transparent fashion.

The NPCC risk based CMEP is administered independently by NPCC Staff and encompasses compliance monitoring, assessment, and enforcement of NERC Reliability Standards and NPCC Regional Reliability Standards.

The CCEP is administered by NPCC Staff, with CC member and Task Force member input, on a subset of aspects of NPCC Reliability Criteria and Directories.

Committee CMEP Functions

1. Provide objective stakeholder input and/or feedback to NPCC Staff related to the risk based CMEP, including certification and registration.
2. Conduct periodic assessments of selected aspects of the NPCC Compliance Staff's implementation of the risk based CMEP.
3. Review and endorse the public Compliance Procedures used by the NPCC Compliance Staff to implement the CMEP.
4. Discuss emerging compliance issues and entity concerns with implementation of solutions.
5. Share best practices on compliance implementation and mitigation solutions.
6. Provide input on subject matter to be presented at NPCC Conferences.
7. Provide oversight on the activities of any subcommittees, working groups, or user groups formed with respect to CMEP activities.

Committee CCEP Functions

1. Provide objective stakeholder input to NPCC Staff related to the CCEP.
2. Develop CCEP documents for Reliability Coordinating Committee (RCC) acceptance.
 - a. Annual CCEP Assessment Report
 - b. Annual CCEP Implementation Plan
3. Propose, review, and approve any modifications or revisions to the CCEP, including the CCEP process document and CCEP certification forms.
4. Oversee the activities of any subcommittees, working groups, or user groups formed with respect to the CCEP.

Public



Committee Structure

The CC will consist of Full Member and General Member representatives from the seven voting sectors as described in the Amended and Restated Bylaws of NPCC.

The CC will be chaired by the Vice President, Compliance. The CC will elect a Vice Chair from committee members.

While conducting CC business, the CC will be bound by the same quorum and voting rules applicable to the NPCC Board of Directors and described in the Amended and Restated Bylaws of NPCC.

CC Approved 12/14/22

NPCC BOD Approved 1/18/23

Public