



NORTHEAST POWER COORDINATING COUNCIL, INC.
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Compliance Bulletin

March 27, 2020

NPCC publishes compliance bulletins as a means to engage and inform NPCC entities on aspects of Bulk Power System security, reliability, and compliance.

CIP-013 Outreach – Supply Chain Risk Management

Beginning in January 2020, NPCC has been performing CIP-013 (Cyber Security – Supply Chain Risk Management) outreach sessions via on-site meetings, meetings at NPCC, or meetings via webinar. This outreach is done on a voluntary basis. The purpose of the outreach is to create a line of communication ahead of the effective date of CIP-013 (July 1, 2020) and other associated supply-chain Reliability Standards.

Please see the joint FERC/NERC guidance on ensuring reliability during COVID.

NERC website: [FERC/NERC Reliability Guidance](#)

Please see the NPCC website for instructions on reporting exceptions to compliance due to COVID.

NPCC website: [Exception to Compliance due to COVID](#)

If further guidance is issued by FERC and NERC due to COVID, NPCC will contact all NPCC Regional Compliance Stakeholders.

During the outreach sessions, NPCC engages in collaborative discussion to answer entity questions based on:

- NPCC's perspective;
- The NERC public FAQ that resulted from the Small Group Advisory Sessions (SGAS) effort; and
- Review of the entity's documents and processes that the entity has already put in place.

This outreach is performed to provide initial feedback ahead of the July 1, 2020 enforcement date of the CIP-013 Reliability Standard. NPCC will collaborate with NERC as needed to ensure a consistent approach across the ERO Enterprise. NPCC will also strive to provide feedback at audits and various touchpoints with entities in the time leading up to the July 1, 2020 effective date to reduce the likelihood of an influx of noncompliance.

As of this time, NPCC has conducted four CIP-013 outreach sessions. If an entity would like to volunteer for a CIP-013 outreach session, please contact Jenifer Farrell (jvallace@npcc.org). NPCC will be scheduling a CIP-013 webinar in May 2020. Please watch for the details to follow.

FAC-008 Facility Ratings Survey and Increasing Entity Awareness

In February 2020, NPCC conducted a targeted survey on Transmission Owner (TO) FAC-008 Facility Ratings programs within the NPCC Region. The survey was sent to seventeen (17) TOs.

The success and sustainability of the FAC-008 Facility Ratings program at each registered entity is paramount to ensuring the reliability and security of the Bulk Power System (BPS) in Northeastern North America. The topic of Facility Ratings is a key focus area amongst the NERC, FERC, and the Regional Entities. Issues with Facility Ratings have been discovered across North America due to change management weaknesses that include inaccurate equipment inventories, multiple historical Facility Rating methodologies, ratings not being updated as a result of projects, asset transfers, or upon the addition of new equipment, mergers/acquisitions, and following severe weather replacements.

The intent of this survey to raise the awareness of NPCC registered entities on the key role that accurate Facility Ratings play in reliability and system security. From these responses, NPCC will acquire an understanding of the similar and different practices associated with the FAC-008 programs of our larger Transmission Owners.

Responses to the FAC-008 survey were due back by March 6, 2020.

In May 2020, the results of the analysis will be shared with stakeholders in a webinar format.