



1040 Avenue of the Americas - 10<sup>th</sup> Floor  
New York, New York 10018-3703

**NPCC Regional Standards Committee  
Preliminary--Supplement for Meeting #13-1**

Feb. 20, 2013 10:00 a.m. - 5:00 p.m.  
Feb. 21, 2013 8:00 a.m. - 3:00 p.m.

FRCC Offices  
3000 Bayport Drive  
Suite 690  
Tampa, FL 33607

Tel: 813-289-5644

**1. FERC Items of Interest**

- a. NERC's Notice of Withdrawal of BAL-004-1 - Time Error Correction filed on October 24, 2012 in Docket No. RM09-13-000 was uncontested and therefore became effective by operation of law on November 9, 2012. Reliability Standard BAL-004-1 is now inactive. Reliability Standard BAL-004-0 is currently effective and is unaltered by the withdrawal of BAL-004-1.
- b. Sunshine Act Meeting--Dec. 20, 2012.
- c. Official Data Request to Fulfill FERC Order No. 693 Requirements-Restoration of Nuclear Power Plant Offsite Power Sources Request for Data for the Fourth Quarter of 2012 (October 1-December 31, 2012).
- d. January 2013 Open Meeting Summary.

**FERC Items of Interest (continued)**

<b><u>Item</u></b>	<b><u>NOPR, Petition, Final Rule, Other</u></b>	<b><u>Title</u></b>	<b><u>Posted</u></b>	<b><u>Summary, Instructions, Dates</u></b>
1.1	<p><b>Mandatory Reliability Standards For The Bulk Power System</b></p> <hr/> <p><b><u>Docket No.</u></b> RM06-16-000</p>	<p><b>Third Quarter 2012 Compliance Filing Of The North American Electric Reliability Corporation In Response To Paragraph 629 Of Order No. 693</b></p>	11/29/12	<p>This filing reports information collected for the third quarter of 2012 to comply with FERC's March 16, 2007 Order directing NERC to provide a quarterly informational filing regarding the timeframe to restore power to the auxiliary power systems of U.S. nuclear power plants following a blackout as determined during simulations and drills of system restoration plans.</p>
1.2	<p><b>Notice Of Request For Comments And Technical Conference</b></p> <hr/> <p><b><u>Docket No.</u></b> AD12-12-000</p>	<p><b>Coordination between Natural Gas and Electricity Markets</b></p>	12/7/12	<p>FERC will hold a technical conference Feb. 13, 2013 to elicit input pertaining to information sharing and communications issues between natural gas and electric power industry entities.</p>
1.3	<p><b>Order</b></p> <hr/> <p><b><u>Docket No.</u></b> RM10-11-001</p>	<p><b>Order 764-A - Order On Rehearing And Clarification And Granting Motion For Extension Of Time</b></p>	12/20/12	<p>On June 22, 2012, FERC issued the <i>Integration of Variable Energy Resources</i> Final Rule. Additionally, Edison Electric Institute (EEI) filed a motion to extend the period for compliance filings from September 11, 2013, to November 12, 2013. In this order, FERC affirms its basic determinations in Order No. 764, provides clarification, and grants EEI's request to extend the period for compliance filings.</p>

<b><u>Item</u></b>	<b><u>NOPR, Petition, Final Rule, Other</u></b>	<b><u>Title</u></b>	<b><u>Posted</u></b>	<b><u>Summary, Instructions, Dates</u></b>
1.4	<b>Order</b>  <b><u>Docket No.</u></b> NP11-238-001	<b>Order Denying Rehearing</b>	12/20/12	The order denies rehearing of FERC's July 2012 order, which approved a \$19,500 penalty against the Southwestern Power Administration for violation of certain CIP Standards. The order reaffirms the legal finding in the July 2012 order that federal entities that act as users, owners, or operators of the bulk power system are subject to monetary penalties for violation of an approved Reliability Standard, in accordance with the enforcement authorities granted under Federal Power Act section 215. Violations of CIP Standards.
1.5	<b>Comments</b> <b><u>Docket No.</u></b> EL13-11-000; RD13-1-000	<b>Comments Of The North American Electric Reliability Corporation In Support Of The Western Electricity Coordinating Council's Motion For Designation Of Certain Commission Staff As Non-Decisional</b>	1/17/13	NERC files these comments in support of WECC's January 14, 2013 filing requesting that FERC designate certain staff from its Office of Energy Policy and Innovation non-decisional. NERC requests that FERC grant WECC's request to designate certain OEPI staff as non-decisional in the referenced proceedings.

**2. Posted NERC Projects--Additional Items**

- a. [Project 2010-11 TPL Table 1, Footnote B--Webinar Jan. 8, 2013.](#)
- b. [Revisions to NERC Rules of Procedure Appendix 4D Posted in Anticipation of Board Meeting on January 30, 2013.](#)

### 3. NERC

- a. NERC Releases Long-Term, Winter Reliability Assessments--Nov. 29, 2012.
  - 1. 2012 Long-Term Reliability Assessment.
  - 2. Winter Reliability Assessment.
- b. Reliability Issues Information Sharing Conference 2012--Presentations.
- c. Reliability Issues Steering Committee.
  - 1. Reliability Issues Steering Committee Meeting--Dec. 17, 2012.
  - 2. Reliability Issues Steering Committee Teleconference--Jan. 24, 2013. Meeting materials can be accessed [here](#).
    - a. Reliability Issues for consideration Nomination Form for RISC January 24, 2013 Meeting.
  - 3. Reliability Issues Steering Committee Teleconference--Feb. 12, 2013.
- d. NERC Standard Processes Manual Revisions to Implement SPIG Recommendations Industry Webinar Dec. 6, 2012--slides posted.
- e. Standards Committee Meeting--Dec. 13, 2012.
- f. Operating Committee Meeting--Dec. 12-13, 2012.
- g. CIPC Meeting Dec. 12-13, 2012.
- h. NERC Names New Chief Operating Officer, Vice President for Reliability Assessment and Performance Analysis. Mark Rossi joins NERC as Senior Vice President and Chief Operating Officer. Tom Burgess joins NERC as Vice-President and Director of Reliability Assessment and Performance Analysis.
- i. Communications in Operations Conference Project 2007-02 Operating Personnel Communications Protocols--Feb. 14-15, 2013.
- j. Electricity Sub-Sector Coordinating Council Meeting--Jan. 24, 2013.
  - 1. FERC NOPR RM12-22-000 Reliability Standards for Geomagnetic Disturbances (10/18/12) on the Agenda.
- k. Compliance Committee Meeting--Feb. 6, 2013.
  - 1. Presentations.
- l. Board of Trustees Meeting--Feb. 7, 2013.
  - 1. Presentations.
- m. NERC Response on Cybersecurity Executive Order.

#### **4. NERC Standards Bulletins, NERC News, Lessons Learned**

- a. NERC News.
  - 1. NERC News November 2012.
  - 2. NERC News December 2012.
  - 3. NERC News January 2013.
- b. NERC Standards Bulletins.
  - 1. NERC Standards Bulletin Dec. 3-9, 2012.
  - 2. NERC Standards Bulletin Dec. 10-16, 2012.
  - 3. NERC Standards Bulletin Dec. 17-23, 2012.
  - 4. NERC Standards Bulletin Dec. 24-30, 2012.
  - 5. NERC Standards Bulletin Dec. 31, 2012 - Jan. 6, 2013.
  - 6. NERC Standards Bulletin Jan. 7-13, 2013.
  - 7. NERC Standards Bulletin Jan. 14-20, 2013.
  - 8. NERC Standards Bulletin Jan. 22-27, 2013.
  - 9. NERC Standards Bulletin Jan. 28 - Feb. 3, 2013.
  - 10. NERC Standards Bulletin Feb. 4-10, 2013.
  - 11. NERC Standards Bulletin Feb. 11-18, 2013.

#### **5. Other**

- a. RCC Sept. 12, 2012 Meeting Minutes--posted Nov. 30, 2012.
- b. NPCC Governmental/Regulatory Affairs Advisory Group Meeting--Nov. 27, 2012.
- c. Report on Opportunities for Improvement--Final Regional Entity Responses to NERC June 5, 2012 Letter on Follow-Up Actions Related to September 8, 2011 Outage Recommendations.
- d. NYISO 2013-2017 Strategic Plan.
- e. TFCO Nov. 29-30, 2012 Meeting minutes. BAL-003-1 Frequency Response and Bias discussed.

**Appendix A (Item 3)**

**Action Item List**

Date	Action Item Number	Description	Owner	Due	Status
April 21-22, 2010	63	Coordination with the Compliance Committee to develop Joint Activity Action List	Greg Campoli	RSC Meeting	Outgrowth of RSC/CC joint session April 21, 2010. Joint RSC/CC Meeting this meeting.

## Appendix B

### Concluded Ballots (in Meeting Materials Package)

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					Results of Ballot	RSC Recommend/Date
1.	<a href="#">Project 2010-11 - TPL Table 1, Footnote B</a>	Recirculation Ballot	1/26/11	2/5/11	Quorum: 93.61% Approval: 86.54%	Yes 1/5/11
2.	<a href="#">Project 2007-07 - Vegetation Management - FAC-003</a>	Successive Ballot and Non-Binding Poll	2/18/11	2/28/11	Quorum: 79.28% Approval: 79.34%	Yes 2/22/11
3.	<a href="#">Project 2006-06 - Reliability Coordination - COM-001, COM-002, IRO-001, and IRO-014</a>	Initial Ballot	2/25/11	3/7/11	Quorum: 87.10% Approval: 49.54%	Yes 3/2/11
4.	<a href="#">Project 2007-23 - Violation Severity Levels</a>	Non-binding Poll	2/9/11	2/18/11	Ballot Pool: 310 Opinions: 141  72% Support	Yes 10/28/10
5.	<a href="#">Project 2010-13 - Relay Loadability Order - PRC-023</a>	Successive Ballot and Non-Binding Poll	1/24/11	2/13/11	Quorum: 83.95% Approval: 65.71%	Yes 2/11/11
6.	<a href="#">Project 2010-13 - Relay Loadability Order - PRC-023</a>	Recirculation Ballot	2/24/11	3/6/11	Quorum: 87.35% Approval: 68.83%	Yes 2/11/11
7.	<a href="#">Project 2010-15 - Urgent Action Revisions to CIP-005-3</a>	Successive Ballot and Non-Binding Poll	4/19/11	4/28/11	Quorum: 79.66% Approval: 38.00%	No 4/19/11
8.	<a href="#">Project 2009-06 - Facility Ratings</a>	Cast Ballot	4/21/11	5/2/11	Quorum: 86.01% Approval: 48.74%	Abstain 4/26/11

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					Results of Ballot	RSC Recommend/Date
9.	<a href="#">Project 2007-17 - Protection System Maintenance and Testing - PRC-005</a>	Successive Ballot and Non-Binding Poll	5/3/11	5/12/11	Quorum: 78.33% Approval: 67.00%	No Recommendation
10.	<a href="#">Project 2009-06 - Facility Ratings - FAC-008 and FAC-009</a>	Recirculation Ballot	5/12/11	5/23/11	Quorum: 91.25% Approval: 78.92%	Yes 5/12/11
11.	<a href="#">Project 2006-02 - Assess Transmission and Future Needs - TPL-001 through TPL-006</a>	Successive Ballot and Non-Binding Poll	5/18/11	5/31/11	Quorum: 92.07% Approval: 73.99	----
12.	<a href="#">Project 2007-03 - Real-time Operations - TOP-001 through TOP-008 and PER-001</a>	Initial Ballot and Non-Binding Poll	5/31/11	6/9/11	Quorum: 88.47% Approval: 48.64%	Reject 5/31/11
13.	<a href="#">Project 2007-09 – Generator Verification – MOD-026-1</a> Ballot Results Revised because of NERC IT problem	Cast Ballot	7/22/11	8/1/11	Quorum: 90.25% Approval: 46.53%	No Consensus 7/28/11
14.	<a href="#">Project 2007-09 – Generator Verification – MOD-026-1</a>	Cast Non-Binding Poll Opinion	7/22/11	8/1/11	Quorum: 88.75% Approval: 56.00%	----
15.	<a href="#">Project 2007-09 – Generator Verification – PRC-024-1</a> Ballot Results Revised because of NERC IT problem	Cast Ballot	7/22/11	8/1/11	Quorum: 90.82% Approval: 18.23%	No Consensus 7/28/11
16.	<a href="#">Project 2007-09 – Generator Verification – PRC-024-1</a>	Cast Non-Binding Poll Opinion	7/22/11	8/1/11	Quorum: 88.35% Approval: 20.79%	----
17.	<a href="#">Project 2007-17 – Protection System Maintenance and Testing – PRC-005</a>	Recirculation Ballot and Non-Binding Poll	6/20/11	6/30/11	Quorum: 82.97% Approval: 64.76%	Yes 6/28/11



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18.	<a href="#">Project 2006-02 - Assess Transmission and Future Needs</a>	Cast Ballot	7/13/11	7/22/11	Quorum: 94.33% Approval: 75.37%	----
19.	Project 2006-06 - Reliability Coordination - IRO-002-3	Cast Ballot	7/15/11	7/25/11	Quorum: 94.13% Approval: 76.99%	Yes 7/22/11
20.	Project 2006-06 - Reliability Coordination - IRO-005-4	Cast Ballot	7/15/11	7/25/11	Quorum: 94.13% Approval: 75.17%	Yes 7/22/11
21.	Project 2006-06 - Reliability Coordination - IRO-014-2	Cast Ballot	7/15/11	7/25/11	Quorum: 94.13% Approval: 76.27%	Yes 7/22/11
22.	Project 2006-06 - Reliability Coordination - IRO-002-3	Cast Non-Binding Poll Opinion	7/15/11	7/25/11	Quorum: 75.37% Approval: 93%	----
23.	Project 2006-06 - Reliability Coordination - IRO-005-4	Cast Non-Binding Poll Opinion	7/15/11	7/25/11	Quorum: 75.66% Approval: 93%	----
24.	Project 2006-06 - Reliability Coordination - IRO-014-2	Cast Non-Binding Poll Opinion	7/15/11	7/25/11	Quorum: 75.37% Approval: 89%	-----
25.	Project 2007-17 - Protection System Maintenance and Testing - PRC-005	Initial Ballot	9/19/11	9/28/11 9/29/11-- Technical Difficulties	Quorum: 84.86% Approval: 61.10%	Yes 9/21/11
26.	Project 2007-17 - Protection System Maintenance and Testing - PRC-005	Non-Binding Poll VRFs and VSLs	9/19/11	9/28/11 9/29/11-- Technical Difficulties	Quorum: 83.13% Approval: 68.68%	----

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					Results of Ballot	RSC Recommend/Date
27.	Project 2010-17 - Definition of Bulk Electric System - Initial Ballot of Definition of BES	Initial Ballot	9/30/11	10/10/11	Quorum: 92.97% Approval: 71.68%	No Consensus 10/3/11
28.	Project 2010-17 - Definition of Bulk Electric System - Initial Ballot of Detailed Information to Support BES Exceptions Request	Initial Ballot	9/30/11	10/10/11	Quorum: 89.53% Approval: 64.03%	No Consensus 10/7/11
29.	Project 2007-07 - Vegetation Management	Recirculation Ballot	10/4/11	10/13/11	Quorum: 87.17% Approval: 86.25%	Yes 2/22/11
30.	<a href="#">Project 2011-INT-01 - Interpretation of MOD-028 for Florida Power &amp; Light Company</a>	Initial Ballot	11/7/11	11/16/11	Quorum: 88.05% Approval: 85.53%	Yes 11/8/11
31.	<a href="#">Project 2009-22 - Interpretation of COM-002-2 R2 by the IRC</a>	Initial Ballot	11/8/11	11/17/11	Quorum: 91.2% Approval: 95.05%	Yes 11/8/11
32.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface-- FAC-001-1</a>	Initial Ballot	11/9/11	11/18/11	Quorum: 88.22% Approval: 86.94%	Yes 11/10/11
33.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface-- FAC-003-3</a>	Initial Ballot	11/9/11	11/18/11	Quorum: 85.08% Approval: 85.71%	Yes 11/10/11
34.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface-- FAC-003-X</a>	Initial Ballot	11/9/11	11/18/11	Quorum: 84.82% Approval: 85.31%	Yes 11/10/11
35.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface-- PRC-004-2.1</a>	Initial Ballot	11/9/11	11/18/11	Quorum: 84.29% Approval: 96.09%	Yes 11/10/11

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					Results of Ballot	RSC Recommend/Date
36.	<a href="#">Project 2010-17 - Definition of Bulk Electric System and Implementation Plan</a>	Recirculation Ballot	11/10/11	11/21/11	Quorum: 95.92% Approval: 81.32%	Yes 10/3/11
37.	<a href="#">Project 2010-17 - Detailed Information to Support an Exception Request</a>	Recirculation Ballot	11/10/11	11/21/11	Quorum: 93.02% Approval: 81.48%	Yes 10/3/11
38.	<a href="#">Project 2008-10 - Interpretation of CIP-006-x R1 for Progress Energy</a>	Successive Ballot	11/11/11	11/21/11	Quorum: 83.53% Approval: 95.99%	Yes 11/14/11
39.	<a href="#">Project 2007-12 - Frequency Response</a>	<a href="#">Initial Ballot and Non-Binding Poll</a>	11/30/11	12/9/11	Quorum: 93.92% Approval: 30.82%	No 12/5/11
40.	<a href="#">Project 2009-01 - Disturbance and Sabotage Reporting - CIP-001 and EOP-004</a>	<a href="#">Initial Ballot and Non-Binding Poll</a>	12/2/11	12/12/11	Quorum: 87.97% Approval: 36.21%	No 12/5/11
41.	<a href="#">Project 2008-06 - Cyber Security - Order 706 - CIP-002 through CIP)-009 (Version 5 CIP Standards</a>	<a href="#">Initial Ballots Please Vote for each of the 10 CIP Standards Separately</a>	12/16/11	1/6/11	See Table below	No 12/16/11

For Item 41 above--

<a href="#"><u>CIP-002-5 Cyber Security — BES Cyber System Identification</u></a>	Quorum: 93.62% Approval: 22.09%
<a href="#"><u>CIP-003-5 Cyber Security — Security Management Controls</u></a>	Quorum: 93.62% Approval: 33.49%
<a href="#"><u>CIP-004-5 Cyber Security — Personnel and Training</u></a>	Quorum: 93.62% Approval: 26.82%
<a href="#"><u>CIP-005-5 Cyber Security — Electronic Security Perimeter(s)</u></a>	Quorum: 93.62% Approval: 28.04%
<a href="#"><u>CIP-006-5 Cyber Security — Physical Security</u></a>	Quorum: 93.61% Approval: 29.60%
<a href="#"><u>CIP-007-5 Cyber Security — Systems Security Management</u></a>	Quorum: 93.61% Approval: 24.15%
<a href="#"><u>CIP-008-5 Cyber Security — Incident Reporting and Response Planning</u></a>	Quorum: 94.02% Approval: 34.30%
<a href="#"><u>CIP-009-5 Cyber Security — Recovery Plans for BES Cyber Assets and Systems</u></a>	Quorum: 93.61% Approval: 27.28%
<a href="#"><u>CIP-010-1 Cyber Security — Configuration Change Management</u></a>	Quorum: 93.61% Approval: 26.61%
<a href="#"><u>CIP-011-1 Cyber Security — Information Protection</u></a>	Quorum: 93.61% Approval: 29.88%
<a href="#"><u>CIP V5 Implementation Plan</u></a>	Quorum:

	92.15% Approval: 42.06%
<a href="#">CIP V5 Definitions</a>	Quorum: 92.56% Approval: 25.34%

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the Results of Ballot column links to the Ballot Results)					<b>Results of Ballot</b>	<b>RSC Recommend/Date</b>
42.	<a href="#">Project 2011-INT-01 - Interpretation of MOD-028 for Florida Power &amp; Light Company</a>		Recirculation Ballot	12/12/11	12/22/11	Quorum: 90.10% Approval: 92.49%  Yes 11/8/11
43.	<a href="#">Project 2009-22 - Interpretation of COM-002-2 R2 by the IRC</a>		Recirculation Ballot	12/14/11	12/23/11	Quorum: 92.00% Approval: 94.58%  Yes 11/8/11
44.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface--PRC-004-2.1</a>		Recirculation Ballot	12/14/11	12/23/11	Yes 11/10/11
	FAC-001-1	Quorum: 88.48% Approval: 90.10%				
	FAC-003-3	Quorum: 87.17% Approval: 85.38%				
	FAC-003-X	Quorum: 86.91% Approval: 85.03%				
		Quorum: 86.65% Approval: 96.43%				

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the Results of Ballot column links to the Ballot Results)						<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>
	PRC-004-2.1a						

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Periods" column links to the Ballot Results)					<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>	
45.	Project 2007-03 - Real-time Transmission Operations - TOP-001-2, TOP-002-3 and TOP-003-2		Successive Ballots	1/3/12	1/12/12	1/9/12 TOP-001-2--No TOP-002-3--Yes TOP-003-2--Yes	
	TOP-001-2	Quorum: 82.04% Approval: 59.93%					
	TOP-002-3	Quorum: 82.04% Approval: 77.08%					
	TOP-003-2	Quorum: 82.04% Approval: 78.95%					
46.	<a href="#">Project 2008-10 - Interpretation of CIP-006-x R1 for Progress Energy</a>		Recirculation Ballot	12/9/11	12/19/11	Quorum: 88.02% Approval: 96.04%	Yes 11/14/11

47.	Project 2007-03 - Real-time Transmission Operations - TOP-001-2, TOP-002-3 and TOP-003-2		Non-Binding Polls	1/9/12	1/18/12 Extended to 1/19/12	----
	TOP-001-2	Quorum: 81.50% Approval: 67.61%				
	TOP-002-3	Quorum: 76.41% Approval: 71.42%				
	TOP-003-2	Quorum: 81.50% Approval: 70.28%				

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>
48.	<a href="#">Project 2011-INT-01 - Interpretation of MOD-028 for Florida Power &amp; Light Company</a>	Recirculation Ballot	12/12/11	12/22/11	Quorum: 90.10% Approval: 92.49%	Yes 11/8/11
49.	<a href="#">Project 2009-22 - Interpretation of COM-002-2 R2 by the IRC</a>	Recirculation Ballot	12/14/11	12/23/11	Quorum: 92.00% Approval: 94.58%	Yes 11/8/11
50.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface--PRC-004-2.1</a>	Recirculation Ballot	12/14/11	12/23/11		Yes 11/10/11

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>
	FAC-001-1				Quorum: 88.48% Approval: 90.10%	
	FAC-003-3				Quorum: 87.17% Approval: 85.38%	
	FAC-003-X				Quorum: 86.91% Approval: 85.03%	
	PRC-004-2.1a				Quorum: 86.65% Approval: 96.43%	
51.	Project 2007-03 - Real-time Transmission Operations - TOP-001-2, TOP-002-3 and TOP-003-2					
	TOP-001-2				Quorum: 82.04% Approval: 59.93%	
	TOP-002-3				Quorum: 82.04% Approval: 77.08%	
	TOP-003-2	Successive Ballots	1/3/12	1/12/12	Quorum: 82.04% Approval: 78.95%	1/9/12 TOP-001-2--No TOP-002-3--Yes TOP-003-2--Yes



<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>
52.	<a href="#">Project 2008-10 - Interpretation of CIP-006-x R1 for Progress Energy</a>	Recirculation Ballot	12/9/11	12/19/11	Quorum: 88.02% Approval: 96.04%	Yes 11/14/11
53.	Project 2007-03 - Real-time Transmission Operations - TOP-001-2, TOP-002-3 and TOP-003-2  TOP-001-2  TOP-002-3  TOP-003-2	Non-Binding Polls	1/9/12	1/18/12 Extended to 1/19/12	Quorum: 81.50% Approval: 67.61%  Quorum: 76.41% Approval: 71.42%  Quorum: 81.50% Approval: 70.28%	-----
54.	<a href="#">Project 2006-06 - Reliability Coordination - COM-001, COM-002 and IRO-001-3</a>	Successive Ballots and Non-Binding Polls	1/30/12	2/8/12 Changed to 2/9/12	2/7/12 COM-001-02--No COM-002-3--Yes IRO-001-3--Yes	
		Successive Ballot				Non-binding Poll Results
	COM-001-2	Quorum: 81.82% Approval: 54.64%				Quorum: 80.35% Approval: 71.35%
	COM-002-3	Quorum: 82.11% Approval: 80.62%				Quorum: 80.06% Approval: 90.86%
	IRO-001-3	Quorum: 81.82% Approval: 80.21%	Quorum: 79.77% Approval: 84.69%			
55.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface--PRC-004-2.1</a>	Non-Binding Poll	1/4/12	1/13/12	Quorum: 78.27% Approval: 93.00%	-----

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					<b>Results of Ballot</b>	<b>RSC Recommend/Date</b>
56.	<a href="#">Project 2009-26 - Interpretation of CIP-004-1 by WECC</a>		Successive Ballot	3/14/12	3/23/12	Quorum: 88.55% Approval: 79.61% Yes 3/16/12
57.	<a href="#">Interpretation 2010-INT-05 - Interpretation of CIP-002-1 R3 for Duke Energy</a>		Initial Ballot	3/14/12	3/23/12	Quorum: 89.63% Approval: 94.71% Yes 3/16/12
58.	<a href="#">Project 2011-INT-02 - Interpretation of VAR-002 for Constellation</a>		Initial Ballot	3/14/12	3/23/12	Quorum: 86.92% Approval: 63.09% Yes 3/16/12
59.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface - FAC-003-X, FAC-003-3</a>		Successive Ballots	3/30/12	4/9/12	Yes 4/5/12
	FAC-003-3	Quorum: 80.37% Approval: 85.18%				
	FAC-003-X	Quorum: 80.10% Approval: 85.01%				
60.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface - PRC-005-1.1a</a>		Initial Ballot	4/6/12	4/16/12	Quorum: 88.95% Approval: 92.41% Yes 4/16/12
61.	<a href="#">Project 2007-03 - Real-time Transmission Operations - TOP-001 through TOP-008 and PER-001</a>		Successive Ballots and Non-binding Polls	4/11/12	4/20/12	
		Successive Ballot	Non-binding Poll Results			

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)					<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>	
	TOP-001-2	<u>Quorum:</u> 78.28% <u>Approval:</u> 75.44%	<u>Quorum:</u> 77.21% <u>Approval:</u> 69.84%				
	TOP-002-3	<u>Quorum:</u> 78.02% <u>Approval:</u> 87.22%					
	TOP-003-2	<u>Quorum:</u> 78.28% <u>Approval:</u> 80.11%	<u>Quorum:</u> 77.48% <u>Approval:</u> 67.64%				
62.	<u>Project 2007-17 Protection System Maintenance and Testing - PRC-005</u>		Successive Ballot	3/19/12	3/28/12	<u>Quorum:</u> 84.32% <u>Approval:</u> 73.93%	Yes 3/19/12
63.	<u>Project 2007-09 Generator Verification - MOD-026-1 and PRC-024-1</u>		Successive Ballot	3/19/12	3/29/12		Yes 3/19/12
	MOD-026-1	<u>Quorum:</u> 80.82% <u>Approval:</u> 61.25%					
	PRC-024-1	<u>Quorum:</u> 79.75% <u>Approval:</u> 40.99%					

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)				<u>Results of Ballot</u>	<u>RSC Recommend/Date</u>	
64.	<a href="#">Project 2007-09 Generator Verification - MOD-025-2, MOD-027-1, and PRC-019-1</a>			4/6/12	4/16/12	No 4/16/12
		Initial Ballot	Non-binding Poll Results			
	MOD-025-2	<u>Quorum:</u> 88.28% <u>Approval:</u> 41.09%	<u>Quorum:</u> 86.82% <u>Supportive Opinions:</u> 43.72%			
	MOD-027-1	<u>Quorum:</u> 88.04% <u>Approval:</u> 36.84%	<u>Quorum:</u> 86.04% <u>Supportive Opinions:</u> 38.56%			
	PRC-019-1	<u>Quorum:</u> 88.04% <u>Approval:</u> 48.70%	<u>Quorum:</u> 86.53% <u>Supportive Opinions:</u> 46.38%			
65.	<a href="#">Project 2007-03 - Real-time Transmission Operations</a>			4/11/12	4/20/12	TOP-001 Abstain 4/19/12  TOP-002 Yes 4/19/12  TOP-003 Yes 4/19/12
	TOP-001-2	<u>Quorum:</u> 78.28% <u>Approval:</u> 75.65%	Successive Ballots			
	TOP-002-3	<u>Quorum:</u> 78.02% <u>Approval:</u> 87.22%				
	TOP-003-2	<u>Quorum:</u> 79.36% <u>Approval:</u> 80.79%				

<a href="https://standards.nerc.net/Ballots.aspx">https://standards.nerc.net/Ballots.aspx</a> (clicking in the column to the right of "Ballot Period" column links to the Ballot Results)				Results of <u>Ballot</u>	<u>RSC</u> <u>Recommend/Date</u>		
64.	<a href="#">Project 2007-09 Generator Verification - MOD-025-2, MOD-027-1, and PRC-019-1</a>			4/6/12	4/16/12	No 4/16/12	
		Initial Ballot	Non-binding Poll Results				
	MOD-025-2	<u>Quorum:</u> 88.28% <u>Approval:</u> 41.09%	<u>Quorum:</u> 86.82% <u>Supportive</u> <u>Opinions:</u> 43.72%				
	MOD-027-1	<u>Quorum:</u> 88.04% <u>Approval:</u> 36.84%	<u>Quorum:</u> 86.04% <u>Supportive</u> <u>Opinions:</u> 38.56%				
	PRC-019-1	<u>Quorum:</u> 88.04% <u>Approval:</u> 48.70%	<u>Quorum:</u> 86.53% <u>Supportive</u> <u>Opinions:</u> 46.38%				
66.	Project 2008-06 - Cyber Security Order 706 Version 5 CIP		Successive Ballots	5/11/12	5/21/12	See Table below	Abstain 5/17/12

For Item 67 above--

<a href="#">CIP-002-5 Cyber Security — BES Cyber System Identification</a>	<u>Quorum:</u> 86.21% <u>Approval:</u> 36.58%
<a href="#">CIP-003-5 Cyber Security — Security Management Controls</a>	<u>Quorum:</u> 87.04% <u>Approval:</u> 61.45%

<u>CIP-004-5 Cyber Security — Personnel and Training</u>	<u>Quorum:</u> 86.98% <u>Approval:</u> 39.42%
<u>CIP-005-5 Cyber Security — Electronic Security Perimeter(s)</u>	<u>Quorum:</u> 86.57% <u>Approval:</u> 55.93%
<u>CIP-006-5 Cyber Security — Physical Security</u>	<u>Quorum:</u> 86.80% <u>Approval:</u> 39.09%
<u>CIP-007-5 Cyber Security — Systems Security Management</u>	<u>Quorum:</u> 86.60% <u>Approval:</u> 46.49%
<u>CIP-008-5 Cyber Security — Incident Reporting and Response Planning</u>	<u>Quorum:</u> 85.77% <u>Approval:</u> 68.24%
<u>CIP-009-5 Cyber Security — Recovery Plans for BES Cyber Assets and Systems</u>	<u>Quorum:</u> 86.60% <u>Approval:</u> 61.13%
<u>CIP-010-1 Cyber Security — Configuration Change Management</u>	<u>Quorum:</u> 85.98% <u>Approval:</u> 48.67%
<u>CIP-011-1 Cyber Security — Information Protection</u>	<u>Quorum:</u> 85.98% <u>Approval:</u> 59.15%
<u>CIP V5 Implementation Plan</u>	<u>Quorum:</u> 84.71% <u>Approval:</u> 65.85%
<u>CIP V5 Definitions</u>	<u>Quorum:</u> 83.68% <u>Approval:</u> 47.23%

67.	Project 2010-07 - Generator Requirements at the Transmission Interface		Recirculation Ballot	4/24/12	5/3/12	
	FAC-003-3	Quorum: 81.72% Approval: 87.34%				
	FAC-003-X	Quorum: 81.94% Approval: 87.32%				
	PRC-005-1.1a	Quorum: 90.44% Approval: 93.23%				
68.	Project 2009-01 - Disturbance and Sabotage Reporting		Successive Ballot	5/15/12	5/24/12	<u>Quorum: 84.43%</u> <u>Approval: 46.18%</u>
			Non-binding Poll Results			<u>Quorum: 79.95%</u> <u>Supportive Approvals: 52.67%</u>
69.	Project 2007-03 - Real-time Transmission Operations		Recirculation Ballots	4/27/12	5/6/12	TOP-001 Abstain 4/19/12  TOP-002 Yes 4/19/12  TOP-003 Yes 4/19/12
	TOP-001-2	Quorum: 79.36% Approval: 76.84%				
	TOP-002-3	Quorum: 79.36% Approval: 88.11%				
	TOP-003-2	Quorum: 79.36% Approval: 80.79%				

70.	<a href="#">Project 2007-02 - Operating Personnel Communication Protocols</a>	Initial Ballot	6/11/12	6/20/12	<a href="#">Quorum: 84.14%</a> <a href="#">Approval: 21.11%</a>	No 6/13/12
		Non-binding Poll			<a href="#">Quorum: 81.01%</a> <a href="#">Approval: 28.78%</a>	
71.	<a href="#">Project 2009-26 - Interpretation of CIP-004-1 by WECC</a>	Recirculation Ballot	4/20/12	4/30/12	<a href="#">Quorum: 90.96%</a> <a href="#">Approval: 80.08%</a>	Yes 3/16/12
72.	<a href="#">Interpretation 2010-INT-05 - Interpretation of CIP-002-1 R3 for Duke Energy</a>	Recirculation Ballot	4/20/12	4/30/12	<a href="#">Quorum: 92.68%</a> <a href="#">Approval: 94.61%</a>	Yes 3/16/12
73.	<a href="#">Project 2011-INT-02 - Interpretation of VAR -002 for Constellation</a>	Successive Ballot	6/18/12	6/27/12	<a href="#">Quorum: 85.98%</a> <a href="#">Approval: 68.22%</a>	Yes 6/26/12
74.	<a href="#">Project 2007-06 - System Protection Coordination</a>	Initial Ballot	6/26/12	7/5/12	<a href="#">Quorum: 84.24%</a> <a href="#">Approval: 23.82%</a>	No 6/27/12
		Non-binding Poll			<a href="#">Quorum: 82.26%</a> <a href="#">Approval: 25.19%</a>	
75.	<a href="#">Project 2007-17 Protection System Maintenance and Testing - PRC-005</a>	Successive Ballot	6/18/12	6/27/12	<a href="#">Quorum: 79.46%</a> <a href="#">Approval: 79.00%</a>	Yes 6/22/12
		Non-binding Poll			<a href="#">Quorum: 75.00%</a> <a href="#">Approval: 70.21%</a>	



76.	<a href="#">Project 2006-06 - Reliability Coordination - COM-001, COM-002 and IRO-001-3</a>		Successive Ballot (COM-001-2) Recirculation Ballot (COM-002-3, IRO-001-3)	6/27/12	Orig.--7/6/12 COM-001-2 Extended to 7/9/12	No Consensus 7/5/12
	COM-001-2	Successive Ballot	Non-binding Poll (All)			
		<a href="#">Quorum: 75.37%</a> <a href="#">Approval: 72.16%</a>	<a href="#">Quorum: 75.37%</a> <a href="#">Approval: 73.71%</a>			
	COM-002-3	Recirculation Ballot	<a href="#">Quorum: 84.16%</a> <a href="#">Approval: 79.16%</a>			
<a href="#">Quorum: 85.34%</a> <a href="#">Approval: 81.71%</a>						
IRO-001-3	Recirculation Ballot	<a href="#">Quorum: 83.87%</a> <a href="#">Approval: 86.91%</a>				
	<a href="#">Quorum: 85.04%</a> <a href="#">Approval: 81.72%</a>					
77.	Project 2010-INT-01 - Rapid Revision of TOP-006 for FMPP	Initial Ballot	<a href="#">Quorum: 80.39%</a> <a href="#">Approval: 79.28%</a>	7/20/12	7/30/12	No 7/20/12
		Non-binding Poll	<a href="#">Quorum: 78.26%</a> <a href="#">Approval: 76.07%</a>			
78.	Project 2012-08.1 - Phase 1 of Glossary Updates: Statutory Definitions	Initial Ballot	<a href="#">Quorum: 83.11%</a> <a href="#">Approval: 54.16%</a>	7/24/12	8/2/12	No 7/20/12
79.	<a href="#">Project 2009-19 – Interpretation of BAL-002 by NWPP Reserve Sharing Group</a>	Successive Ballot	<a href="#">Quorum: 79.21%</a> <a href="#">Approval: 87.78%</a>	8/23/12	9/4/12	Yes 2/22/10

80.	<a href="#">Project 2011-INT-02 - Rapid Revision of VAR-002 for Constellation</a>	Recirculation Ballot	<a href="#">Quorum: 90.97%</a> <a href="#">Approval: 69.81%</a>	7/18/12	7/27/12	Yes 6/26/12
		Non-binding Poll	<a href="#">Quorum: 81.31%</a> <a href="#">Approval: 60.93%</a>			
81.	<a href="#">Project 2007-17 Protection System Maintenance and Testing - PRC-005</a>	Successive Ballot	<a href="#">Quorum: 78.11%</a> <a href="#">Approval: 80.31%</a>	8/17/12	8/27/12	Yes 6/22/12
82.	<a href="#">Project 2010-05.1 -Protection Systems: Phase 1 (Misoperations)</a>	Initial Ballot	<a href="#">Quorum: 86.71%</a> <a href="#">Approval: 37.68%</a>	8/29/12	9/7/12	Yes 9/5/12
		Non-binding Poll	<a href="#">Quorum: 84.17%</a> <a href="#">Approval: 37.36%</a>			
83.	<a href="#">Revisions to Outstanding VRFs and VSLs</a>	Non-binding Poll	<a href="#">Quorum: 78.57%</a> <a href="#">Approval: 73.02%</a>	10/10/12	10/19/12 (changed to 10/23/12)	
84.	<a href="#">Project 2006-06 - Reliability Coordination</a>	Recirculation Ballot	<a href="#">Quorum: 80.35%</a> <a href="#">Approval: 75.01%</a>	9/6/12	9/17/12	
85.	<a href="#">Project 2010-INT-01 - Rapid Revision of TOP-006 for FMPP</a>	Recirculation Ballot	<a href="#">Quorum: 85.36%</a> <a href="#">Approval: 87.34%</a>	9/12/12	9/21/12	
86.	<a href="#">Project 2007-02 - Operating Personnel Communication Protocols</a>	Successive Ballot and	<a href="#">Quorum: 77.70%</a> <a href="#">Approval: 50.57%</a>	9/11/12	9/20/12	Yes 9/15/12
		Non-binding Poll	<a href="#">Quorum: 84.05%</a> <a href="#">Approval: 54.07%</a>			

87.	<a href="#">Project 2009-01 - Disturbance and Sabotage Reporting - CIP-001 and EOP-004</a>	Successive Ballot	<a href="#">Quorum: 78.54%</a> <a href="#">Approval: 63.40%</a>	9/18/12	9/27/12	No Consensus 9/27/12
		Non-binding Poll	<a href="#">Quorum: 72.59%</a> <a href="#">Approval: 63.05%</a>			
88.	<a href="#">Project 2008-06 - Cyber Security - Order 706 - CIP-002-5 through CIP-009-5, CIP-010-1, and CIP-011-1 (Version 5 CIP Standards)</a>	<a href="#">Successive Ballot</a>		10/1/12	10/10/12	Yes 10/4/12

<a href="#">CIP-002-5 Cyber Security — BES Cyber System Identification</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 74.85%</a>
<a href="#">CIP-003-5 Cyber Security — Security Management Controls</a>	<a href="#">Quorum: 80.37%</a> <a href="#">Approval: 89.50%</a>
<a href="#">CIP-004-5 Cyber Security — Personnel and Training</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 85.58%</a>
<a href="#">CIP-005-5 Cyber Security — Electronic Security Perimeter(s)</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 89.46%</a>
<a href="#">CIP-006-5 Cyber Security — Physical Security</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 92.11%</a>
<a href="#">CIP-007-5 Cyber Security — Systems Security Management</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 87.73%</a>
<a href="#">CIP-008-5 Cyber Security — Incident Reporting and Response Planning</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 91.74%</a>
<a href="#">CIP-009-5 Cyber Security — Recovery Plans for BES Cyber Assets and Systems</a>	<a href="#">Quorum: 80.58%</a> <a href="#">Approval: 91.73%</a>

<u>CIP-010-1 Cyber Security — Configuration Change Management</u>	<u>Quorum:</u> 80.58% <u>Approval:</u> 84.60%
<u>CIP-011-1 Cyber Security — Information Protection</u>	<u>Quorum:</u> 80.58% <u>Approval:</u> 92.90%
<u>CIP V5 Implementation Plan</u>	<u>Quorum:</u> 78.93% <u>Approval:</u> 94.00%
<u>CIP V5 Definitions</u>	<u>Quorum:</u> 79.13% <u>Approval:</u> 91.59%

89.	<u>Standard Processes Manual Revisions to Implement SPIG Recommendations</u>	<u>Initial Ballot</u>	<u>Quorum:</u> 87.23% <u>Approval:</u> 63.17%	10/3/12	10/12/12	Yes 10/4/12
90.	<u>Project 2007-09 - Generator Verification</u>	Successive Ballot		10/19/12	10/31/12	
		Non-binding Poll				

<u>MOD-026-1 – Verification of Models and Data for Generator Excitation System Functions and Plant Volt/Var Control Functions</u>	<u>Successive Ballot</u>	<u>Quorum:</u> 75.55% <u>Approval:</u> 76.50%
	<u>Non-binding Poll</u>	<u>Quorum:</u> 75.88% <u>Approval:</u> 77.10%
<u>PRC-024-1 – Generator Performance During Frequency and Voltage Excursions</u>	<u>Successive Ballot</u>	<u>Quorum:</u> 75.00% <u>Approval:</u> 57.24%
	<u>Non-binding Poll</u>	<u>Quorum:</u> 75.40% <u>Approval:</u> 52.72%

<u>MOD-025-2 – Verification and Data Reporting of Generator Real and Reactive Power Capability and Synchronous Condenser Reactive Power Capability</u>	<u>Successive Ballot</u>	<u>Quorum: 83.61%</u> <u>Approval: 68.31%</u>
	<u>Non-binding Poll</u>	<u>Quorum: 77.94%</u> <u>Approval: 64.24%</u>
<u>MOD-027-1 – Verification of Models and Data for Turbine/Governor and Load Control or Active Power/Frequency Control Functions</u>	<u>Successive Ballot</u>	<u>Quorum: 82.34%</u> <u>Approval: 71.53%</u>
	<u>Non-binding Poll</u>	<u>Quorum: 78.06%</u> <u>Approval: 68.93%</u>
<u>PRC-019-1 – Coordination of Generating Unit or Plant Capabilities, Voltage Regulating Controls, and Protection</u>	<u>Successive Ballot</u>	<u>Quorum: 82.07%</u> <u>Approval: 70.64%</u>
	<u>Non-binding Poll</u>	<u>Quorum: 78.51%</u> <u>Approval: 63.63%</u>

91.	<u>Project 2009-19 - Interpretation of BAL-002-0 NWPP Reserve Sharing Group</u>	<u>Recirculation Ballot</u>	<u>Quorum: 85.11%</u> <u>Approval: 90.34%</u>	9/28/12	10/8/12	Yes 2/22/10
92.	<u>Project 2007-17 Protection System Maintenance and Testing - PRC-005</u>	<u>Recirculation Ballot</u>	<u>Quorum: 81.08%</u> <u>Approval: 80.51%</u>	10/15/12	10/24/12	Yes 6/22/12
93.	<u>Project 2009-01 - Disturbance and Sabotage Reporting - CIP-001 and EOP-004</u>	<u>Recirculation Ballot</u>	<u>Quorum: 85.14%</u> <u>Approval: 71.39%</u>	10/24/12	11/2/12	
		<u>Non-binding Poll</u>	<u>Quorum: 78.93%</u> <u>Approval: 71.04%</u>			

94.	<a href="#">Project 2007-12 - Frequency Response</a>	<a href="#">Successive Ballot</a>	<a href="#">Quorum: 82.04%</a> <a href="#">Approval: 76.08%</a>	10/26/12	11/6/12 (Changed from 11/5/12 because of Hurricane Sandy)	Yes 11/5/12
		<a href="#">Non-binding Poll</a>	<a href="#">Quorum: 76.28%</a> <a href="#">Approval: 76.30%</a>			
95.	<a href="#">Project 2008-06 - Cyber Security - Order 706 - CIP-002-5 through CIP-009-5, CIP-010-1, and CIP-011-1 (Version 5 CIP Standards)</a>	<a href="#">Recirculation Ballots</a>	See Table Below	10/26/12	11/5/12	Yes 10/4/12
		<a href="#">Non-binding Poll for VRFs and VSLs</a>	<a href="#">Quorum: 75.41%</a> <a href="#">Approval: 83.82%</a>			

<a href="#">CIP-002-5 Cyber Security — BES Cyber System Identification</a>	<a href="#">Quorum: 85.33%</a> <a href="#">Approval: 78.59%</a>
<a href="#">CIP-003-5 Cyber Security — Security Management Controls</a>	<a href="#">Quorum: 85.33%</a> <a href="#">Approval: 92.75%</a>
<a href="#">CIP-004-5 Cyber Security — Personnel and Training</a>	<a href="#">Quorum: 85.54%</a> <a href="#">Approval: 89.73%</a>
<a href="#">CIP-005-5 Cyber Security — Electronic Security Perimeter(s)</a>	<a href="#">Quorum: 85.54%</a> <a href="#">Approval: 93.73%</a>
<a href="#">CIP-006-5 Cyber Security — Physical Security</a>	<a href="#">Quorum: 85.54%</a> <a href="#">Approval: 95.53%</a>
<a href="#">CIP-007-5 Cyber Security — Systems Security Management</a>	<a href="#">Quorum: 85.54%</a> <a href="#">Approval: 91.79%</a>

<u>CIP-008-5 Cyber Security — Incident Reporting and Response Planning</u>	<u>Quorum:</u> 85.54% <u>Approval:</u> 95.47%
<u>CIP-009-5 Cyber Security — Recovery Plans for BES Cyber Assets and Systems</u>	<u>Quorum:</u> 85.33% <u>Approval:</u> 94.60%
<u>CIP-010-1 Cyber Security — Configuration Change Management</u>	<u>Quorum:</u> 85.54% <u>Approval:</u> 88.99%
<u>CIP-011-1 Cyber Security — Information Protection</u>	<u>Quorum:</u> 85.54% <u>Approval:</u> 95.67%
<u>CIP V5 Implementation Plan</u>	<u>Quorum:</u> 83.47% <u>Approval:</u> 94.91%
<u>CIP V5 Definitions</u>	<u>Quorum:</u> 83.47% <u>Approval:</u> 93.23%

96.	<u>Project 2010-11 - TPL Table 1, Footnote B</u>	<u>Initial Ballot</u>	<u>Quorum:</u> 80.17% <u>Approval:</u> 56.18%	11/9/12	11/19/12	
97.	<u>Interpretation 2012-INT-02 - Interpretation of TPL-003-0a and TPL-004-0 for SPCS</u>	<u>Initial Ballot</u>	<u>Quorum:</u> 84.81% <u>Approval:</u> 72.57%	11/26/12	12/5/12	No 12/5/12
98.	<u>Project 2013-02 - Paragraph 81</u>	<u>Initial Ballot</u>	<u>Quorum:</u> 75.77% <u>Approval:</u> 96.45%	11/30/12	12/10/12	Yes 12/10/12

99.	<a href="#">Project 2007-02 Operating Personnel Protocols - COM-003</a>	<a href="#">Successive Ballot</a>	<a href="#">Quorum: 76.78%</a> <a href="#">Approval: 53.57%</a>	12/4/12	12/13/12	No Consensus 12/13/12
		<a href="#">Non-binding Poll</a>	<a href="#">Quorum: 77.22%</a> <a href="#">Approval: 57.91%</a>			
100.	<a href="#">Project 2007-06 - System Protection Coordination - PRC-001 and PRC-027</a>	<a href="#">Successive Ballot</a>	<a href="#">Quorum: 76.47%</a> <a href="#">Approval: 33.23%</a>	12/7/12	12/17/12	No 12/17/12
		<a href="#">Non-binding Poll</a>	<a href="#">Quorum: 75.58%</a> <a href="#">Approval: 34.80%</a>			
101.	<a href="#">Interpretation 2012-INT-05</a>	<a href="#">Initial Ballot</a>	<a href="#">Quorum: 84.50%</a> <a href="#">Approval: 95.60%</a>	12/11/12	12/20/12	Yes 12/19/12
102.	<a href="#">Standard Processes Manual Revisions to Implement SPIG Recommendations</a>	<a href="#">Successive Ballot</a>	<a href="#">Quorum: 83.24%</a> <a href="#">Approval: 84.48%</a>	12/11/12	12/20/12	Yes 12/19/12
103.	<a href="#">Project 2010-14.1 - Phase 1 of Balancing Authority Reliability-based Controls: Reserves - BAL-012-1</a>	<a href="#">Initial Ballot</a>	<a href="#">Quorum: 83.38%</a> <a href="#">Approval: 22.06%</a>	1/4/13	1/14/13	No 1/11/13
		<a href="#">Non-binding Poll</a>	<a href="#">Quorum: 81.93%</a> <a href="#">Approval: 24.39%</a>			
104.	<a href="#">Project 2010-11 - TPL Table 1, Footnote B</a>	<a href="#">Successive Ballot</a>	<a href="#">Quorum: 85.47%</a> <a href="#">Approval: 65.77%</a>	1/2/13	1/11/13	No Consensus 1/11/13
105.	<a href="#">Project 2007-09 - Generator Verification - MOD-025-2, MOD-026-1, MOD-027-1, and PRC-019-1</a>	<a href="#">Recirculation Ballot</a>		12/12/12	12/21/12	
		<a href="#">MOD-025-2</a>	<a href="#">Quorum: 86.89%</a> <a href="#">Approval: 73.06%</a>			



		<u>MOD-026-1</u>	<u>Quorum:</u> <u>79.00%</u> <u>Approval:</u> <u>79.36%</u>			
		<u>MOD-027-1</u>	<u>Quorum:</u> <u>86.68%</u> <u>Approval:</u> <u>74.27%</u>			
		<u>PRC-019-1</u>	<u>Quorum:</u> <u>85.87%</u> <u>Approval:</u> <u>73.63%</u>			
106.	<u>Project 2007-09 - Generator Verification - PRC-024-1</u>	<u>Successive Ballot</u>	<u>Quorum:</u> <u>77.85%</u> <u>Approval:</u> <u>60.47%</u>	1/2/12	1/11/13	Yes 1/11/13
		<u>Non-Binding Poll</u>	<u>Quorum:</u> <u>76.05%</u> <u>Approval:</u> <u>56.02%</u>			
107.	<u>Project 2007-12 - Frequency Response</u>	<u>Recirculation Ballot</u>	<u>Quorum:</u> <u>86.19%</u> <u>Approval:</u> <u>76.53%</u>	12/12/12	12/21/12	
108.	<u>Project 2013-02 - Paragraph 81</u>	<u>Recirculation Ballot</u>	<u>Quorum:</u> <u>84.60%</u> <u>Approval:</u> <u>95.22%</u>	1/8/13	1/17/13	
109.	<u>Interpretation 2012-INT-05</u>	<u>Recirculation Ballot</u>	<u>Quorum:</u> <u>87.13%</u> <u>Approval:</u> <u>99.09%</u>	1/14/13	1/23/13	
110.	<u>Standard Processes Manual Revisions to Implement SPIG Recommendations</u>	<u>Recirculation Ballot</u>	<u>Quorum:</u> <u>85.90%</u> <u>Approval:</u> <u>85.57%</u>	1/18/13	1/28/13	
111.	<u>Project 2010-11 - TPL Table 1, Footnote B</u>	<u>Recirculation Ballot</u>	<u>Quorum:</u> <u>88.55%</u> <u>Approval:</u> <u>69.63%</u>	1/22/13	1/31/13	

112.	<a href="#">Interpretation 2012-INT-02 - Interpretation of TPL-003-0a and TPL-004-0 for SPCS</a>	<a href="#">Recirculation Ballot</a>	<a href="#">Quorum: 85.67%</a> <a href="#">Approval: 77.61%</a>	1/22/13	1/31/13	
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### Appendix C

#### Comment Forms Submitted (in Meeting Materials Package)

1.	Standards Project Prioritization Reference Document and Tool	Comment Form	1/21/11	2/10/11
2.	Project 2007-12 - Frequency Response	Comment Form	2/4/11	3/7/11
3.	Project 2007-07 - Vegetation Management - FAC-003	Comment Form	1/27/11	2/28/11
4.	Project 2007-23 - Violation Severity Levels	Comment Form	1/20/11	2/18/11
5.	Project 2006-06 - Reliability Coordination - COM-001, COM-002, IRO-001, and IRO-014	Comment Form	1/18/11	3/7/11
6.	Regional Reliability Standards - PRC-006-NPCC-1 - Automatic Underfrequency Load Shedding	Comment Form (no comments submitted)	1/10/11	2/24/11
7.	CAN-0015--Draft CAN-0015 Unavailability of NERC Tools	Comments	2/4/11	2/18/11
8.	CAN-0016--Draft CAN-0016 CIP-001-1 R1 - Applicability to Non-BES	Comments	2/4/11	2/18/11
9.	CAN-0017--Draft CAN-0017 CIP-007 R5 System Access and Password Controls	Comments	2/11/11	3/4/11

10.	CAN-0018--Draft CAN-0018 FAC-008 R.1.2.1 - Terminal Equipment	Comments	2/4/11	2/18/11
11.	Proposed Changes to Rules of Procedure to Add Section 1700 - Challenges to Determinations	Comments	2/14/11	3/7/11
12.	Project 2009-06 - Facility Ratings - FAC-008 and FAC-009	Comment Form	3/17/11	5/2/11
13.	Project 2010-15 - Urgent Action Revisions to CIP-005-3 - CIP-005	Comment Form	3/29/11	4/28/11
14.	Project 2009-02 - Real-time Reliability Monitoring and Analysis Capabilities	Comment Form	2/16/11	4/4/11
15.	Notice of Proposed Changes to RFC Rules of Procedure and Request for Comments	Comments (No comments submitted)	3/1/11	4/15/11
16.	Proposed Amendments to NERC Rules of Procedure Appendices 3B and 3D	Comments	3/1/11	4/15/11
17.	Project 2010-07 - Generator Requirements at the Transmission Interface	Informal Comment Period	3/4/11	4/4/11
18.	Project 2009-01 - Disturbance and Sabotage Reporting	Comment Form	3/9/11	4/8/11
19.	Project 2007-17 - Protection System Maintenance and Testing - PRC-005	Comment Form	4/13/11	5/12/11
20.	Project 2010-17 - Definition of Bulk Electric System	Comment Form	4/28/11	5/27/11
21.	Project 2006-02 - Assess Transmission and Future Needs	Comment Form	4/18/11	5/31/11
22.	Project 2007-03 - Real-time Operations - TOP-001 through TOP-008 and PER-001	Comment Form	4/26/11	6/9/11
23.	Project 2010-17 - Definition of Bulk Electric System	Comment Form	5/11/11	6/10/11
24.	Rules of Procedure Development Team: BES Definition Exception Process	Comment Form	5/11/11	6/10/11
25.	CAN-0024--Draft CAN-0024 CIP-002 through CIP-009 Routable Protocols and Data Diodes	Comments	5/20/11	6/10/11
26.	CAN-0029--Draft CAN-0029 PRC-004-1 R1, R2 and R3 Misoperations	Comments	5/20/11	6/10/11

27.	CAN-0030--Draft CAN-0030 Attestations	Comments	5/20/11	6/10/11
28.	CAN-0039--Draft CAN-0039 DOE Form 407	Comments	5/20/11	6/10/11
29.	Project 2010-05.1 – Protection Systems: Phase 1 (Misoperations)	Comment Form	6/10/11	7/11/11
30.	Project 2007-09 – Generator Verification – MOD-025-2, MOD-027-1, PRC-019-1	Comment Form	6/15/11	7/15/11
31.	Project 2007-09 – Generator Verification – MOD-026-1 and PRC-024-1	Comment Form	6/15/11	8/1/11
32.	Project 2010-07 – Generator Requirements at the Transmission Interface – Various BAL, CIP, EOP, FAC, IRO, MOD, PER, PRC, TOP, and VAR standards	Comment Form	6/17/11	7/17/11
33.	Proposed Changes to NERC Rules of Procedure and associated Appendices  (Appendix 4B – Sanction Guidelines; and Appendix 4C – Compliance Monitoring and Enforcement Program)	Sent Comments to ROPcomments@nerc.net	6/30/11	8/15/11
34.	Project 2007-17 - Protection System Maintenance and Testing - PRC-005	Comment Form	8/15/11	9/29/11 (Extended from 9/28/11 because of NERC network problems)
35.	Compliance Application Notice (CAN) Process	Comment Form	8/15/11	9/6/11
36.	CAN-0016 CIP-001 R1 - Sabotage Reporting Procedure	Comment Form	8/15/11	9/6/11

37.	<a href="#">Project 2010-17 - Definition of Bulk Electric System - Initial Ballot of Definition of BES</a>	Comment Form	8/26/11	10/10/11
38.	<a href="#">Proposed Changes to NERC Rules of Procedure and All Appendices</a>	Sent Comments to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a> No Comments Submitted	9/2/11	10/17/11
39.	<a href="#">NERC 2012-2014 Reliability Standards Development Plan</a>	Comment Form	9/12/11	9/26/11
40.	DRAFT CANs Posted for Comment and Retirement of CAN-0001 through 0004 (See note below table)	Sent Comments to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	8/31/11	9/21/11
41.	New CAN Template, five DRAFT CANs for Industry review, and CANs Status posted to NERC Compliance's Web site.	Sent Comments to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	9/23/11	10/14/11
42.	<a href="#">Project 2010-17 - Bulk Electric System (BES) Definition - Rules of procedure Modifications to Support BES Exception Requests</a>	Comment Form	9/13/11	10/27/11
43.	<a href="#">Project 2011-INT-01 - Interpretation of MOD-028 for Florida Power &amp; Light Company</a>	Comment Form	10/3/11	11/16/11
44.	<a href="#">Project 2009-22 - Interpretation of COM-002-2 R2 by the IRC</a>	Comment Form	10/4/11	11/17/11
45.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface</a>	Comment Form	10/5/11	11/18/11
46.	<a href="#">CAN-0020--TPL-002, TPL-003, TPL-004 and TOP-002 Equipment Maintenance Outages</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/19/11	11/9/11
47.	<a href="#">CAN-0030--Attestations</a>	No Comments	10/19/11	11/9/11
48.	<a href="#">Project 2008-10 - Interpretation of CIP-006-1 R1.1 by Progress Energy</a>	Comment Form	10/12/11	11/21/11
49.	<a href="#">CAN-0010--Definition of "Annual" and Implementation of</a>	Sent Comment Form to	10/10/11	10/31/11

	<a href="#">Annual Requirements</a>	<a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>		
50.	<a href="#">CAN-0011--PRC-005-1 R2: New Equipment</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/10/11	10/31/11
51.	<a href="#">CAN-0012--Completion of Periodic Activity Requirements During Implementation Plan</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/10/11	10/31/11
52.	<a href="#">CAN-0013--PRC-023 R1 and R2 Effective Dates for Switch-on-to-Fault Schemes</a>	No Comments	10/10/11	10/31/11
53.	<a href="#">CAN-0015--Unavailability of NERC Software Tools</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/10/11	10/31/11
54.	<a href="#">CAN-0022--VAR-002-1.1b R1 and R3 Generator Operation in Manual Mode</a>	No Comments	10/10/11	10/31/11
55.	<a href="#">CAN-0024--CIP-002 R3 Routable Protocols and Data Diode Devices</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/10/11	10/31/11
56.	<a href="#">CAN-0026--TOP-006 R3 Protection Relays</a>	Sent Comment Form to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a>	10/10/11	10/31/11
57.	<a href="#">CAN-0028--TOP-006-1 R1.2 Reporting Responsibilities</a>	No Comments	10/10/11	10/31/11
58.	Draft Directive Regarding Generator Transmission Leads (comments also submitted by CC)	Comments forwarded to NERC Staff: Jim Hughes, Jack Wiseman, Stacia Ann-Chambers	10/17/11	11/18/11 (originally 11/15/11)
59.	<a href="#">CAN-000040 - BAL-003 Frequency Bias Calculation</a>	No Comments	11/2/11	11/23/11
60.	<a href="#">CAN-0043 - PRC-005 Protection System Maintenance and Testing Evidence</a>	Comment Form (submitted to <a href="mailto:cancomments@nerc.net">cancomments@nerc.net</a> )	11/2/11	11/23/11
61.	<a href="#">Draft CAN-0027: TOP-003 R2 Coordination of Scheduled Outages</a>	No Comments	11/22/11	12/14/11

62.	<a href="#">Project 2008-06 - Cyber Security - Order 706 - CIP-002-5 through CIP-009-5, CIP-010-1, and CIP-011-1 (Version 5 CIP Standards)</a>	Comment Form	11/7/11	1/6/12
63.	<a href="#">Project 2007-03 - Real-time Transmission Operations - TOP-001-2, TOP-002-3 and TOP-003-2</a>	Comment Form	12/14/11	1/12/12
64.	<a href="#">Proposed Changes to the NERC Rules of Procedure and Associated Appendices</a>	No Comments	11/7/11	12/22/11
65.	<a href="#">Notice of Revisions to Proposed New Sections 1.1.24 and 5.11 of Appendix 4C of the NERC Rules of Procedure, as Originally Posted for Comment on November 7, 2011</a>	No Comments	11/22/11	12/22/11
66.	<a href="#">Regional Reliability Standard PRC-006-NPCC-1 — Automatic Underfrequency Load Shedding (NPCC Website Link)</a>	No Comments	11/22/11	12/22/11
67.	<a href="#">Order 754 - Request for Data or Information</a>	Comment Form	12/22/11	2/6/11
68.	<a href="#">Project 2010-17 - Definition of Bulk Electric System (Phase 2)</a>	Comment Form	1/4/12	2/3/12
69.	<a href="#">Project 2006-06 - Reliability Coordination - COM-001, COM-002 and IRO-001-3</a>	Comment Form	1/9/12	2/8/12 Date Changed to: 2/9/12
70.	<a href="#">Regional Reliability Standard BAL-004-WECC-02 - Automatic Time Error Correction</a>	No Comments	1/23/12	3/9/12
71.	<a href="#">Regional Reliability Standard BAL-001-0.1a - Real Power Balancing Control Performance - WECC Variance</a>	No Comments	1/23/12	3/9/12
72.	<a href="#">Project 2009-26 - Interpretation of CIP-004-1 by WECC</a>	<a href="#">Comment Form</a>	2/8/12	3/23/12
73.	<a href="#">Interpretation 2010-INT-05 - Interpretation of CIP-002-1 R3 for Duke Energy</a>	<a href="#">Comment Form</a>	2/8/12	3/23/12
74.	<a href="#">Project 2011-INT-02 - Interpretation of VAR -002 for Constellation</a>	<a href="#">Comment Form</a>	2/8/12	3/23/12

75.	<a href="#">NERC Functional Model Demand Response Functions and Entities</a>	No Comments	2/13/12	3/14/12
76.	<a href="#">Project 2007-17 Protection System Maintenance and Testing - PRC-005</a>	<a href="#">Comment Form</a>	2/28/12	3/28/12
77.	<a href="#">Project 2007-09 Generator Verification - MOD-026-1 and PRC-024-1</a>	<a href="#">Comment Form</a>	2/29/12	3/29/12
78.	<a href="#">Project 2007-09 Generator Verification - MOD-025-2, MOD-027-1, and PRC-019-1</a>	<a href="#">Comment Form</a>	2/29/12	4/16/12
79.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface - FAC-003-X, FAC-003-3</a>	<a href="#">Comment Form</a>	3/9/12	4/9/12
80.	<a href="#">Project 2010-07 - Generator Requirements at the Transmission Interface - PRC-005-1.1a</a>	<a href="#">Comment Form</a>	3/2/12	4/16/12
81.	<a href="#">Project 2007-03 - Real-time Transmission Operations</a>	Comment Form	3/22/12	4/20/12
82.	<a href="#">Project 2008-06 - Cyber Security Order 706 Version 5 CIP</a>	Comment Form A Comment Form B Comment Form C Comment Form D	4/12/12	5/21/12
83.	<a href="#">Request for Interpretation - Project 2012-INT-02 TPL-003-0a and TPL-004-0 for SPCS</a>	Comment Form	4/24/12	5/4/12
84.	<a href="#">Project 2009-01 - Disturbance and Sabotage Reporting</a>	Comment Form	4/25/12	5/24/12
85.	<a href="#">Adequate Level of Reliability Revised Definition and Associated Documents</a>	Comment Form	4/25/12	6/25/12
86.	<a href="#">Project 2007-02 - Operating Personnel Communications Protocols - COM-003</a>	Comment Form	5/7/12	6/20/12
87.	<a href="#">Project 2007-06 - System Protection Coordination</a>	Comment Form	5/21/12	7/5/12



88.	<a href="#">Project 2011-INT-02 - Interpretation of VAR -002 for Constellation</a>	Comment Form	5/22/12	6/27/12
89.	<a href="#">Order 754</a>	Comment Form	5/11/12	6/25/12
90.	<a href="#">Project 2007-17 - Protection System Maintenance and Testing - PRC-005</a>	Comment Form	5/29/12	6/27/12
91.	<a href="#">Cost Effective Analysis Process (CEAP) for NERC ERO Standards</a>	Comment Form	5/7/12	7/6/12
92.	<a href="#">Project 2007-12 Frequency Response Technical Conferences</a>	Comment Form (individual member submissions)	5/30/12	6/15/12
93.	<a href="#">Project 2010-14.1 - Phase 1 of Balancing Authority Reliability-based Controls: Reserve</a>	Comment Form	6/4/12	7/3/12
94.	<a href="#">Project 2006-06 - Reliability Coordination - COM-001, COM-002 and IRO-001-3</a>	Comment Form	6/7/12	7/6/12
95.	Project 2006-02 – Assess Transmission Future Needs and Develop Transmission Plans	Comment Form	6/19/12	7/9/12
96.	Standard Processes Manual Revisions to Implement SPIG Recommendations	Comment Form	6/20/12	7/19/12
97.	Project 2012-INT-02 - Interpretation of TPL-003-0a and TPL-004-0 for System Protection and Control Subcommittee	Comment Form	6/20/12	7/19/12 Changed to 7/20/12
98.	Interpretation of 2012-INT-05 - Interpretation of CIP-002-3 for OGE	Comment Form	6/27/12	7/27/12
99.	Project 2011-INT-02 - Rapid Revision to Address Interpretation of VAR-002 for Constellation	Comment Form	7/18/12	7/27/12
100.	Project 2010-INT-01 - Rapid Revision of TOP-006 for FMPP	Comment Form	6/14/12	7/30/12
101.	Project 2012-08.1 - Phase 1 of Glossary Updates: Statutory Definitions	Comment Form	6/19/12	8/2/12
102.	<a href="#">Reliability Guideline: System</a>	Comments Submitted to	6/26/12	8/10/12

	<a href="#"><u>Operator Verbal Communications – Current Industry Practices</u></a>	<a href="mailto:reliabilityguidelinecomments@nerc.net">reliabilityguidelinecomments@nerc.net</a>		
103.	<a href="#"><u>Project 2009-19 – Interpretation of BAL-002 by NWPP Reserve Sharing Group</u></a>	Comment Form	7/25/12	9/4/12
104.	<a href="#"><u>Project 2006-02 - Assess Transmission and Future Needs</u></a>	Data Request for Transmission Planners	7/31/12	8/30/12
105.	<a href="#"><u>Project 2010-11 - TPL Table 1 Order TPL-002-1b, footnote ‘b’ and TPL-001-3, footnote 12</u></a>	Comment Form	7/31/12	8/29/12
106.	<a href="#"><u>Project 2013-02 - Paragraph 81</u></a>	Comment Form	8/3/12	9/4/12
107.	<a href="#"><u>Project 2007-17 - Protection System Maintenance and Testing - PRC-005</u></a>	Comment Form	7/27/12	8/27/12
108.	<a href="#"><u>Definition of Adequate Level of Reliability</u></a>	Comment Form	8/15/12	9/13/12
109.	<a href="#"><u>Project 2010-05.1 - Protection Systems: Phase 1 (Misoperations)</u></a>	Comment Form	7/25/12	9/7/12
110.	<a href="#"><u>2013-2015 Reliability Standards Development Plan</u></a>	Comment Form	8/17/12	9/18/12
111.	<a href="#"><u>Project 2007-02 - Operating Personnel Communications Protocols</u></a>	Comment Form	8/22/12	9/20/12
112.	<a href="#"><u>Standard Process Manual Revisions to Implement SPIG Recommendations</u></a>	Comment Form	8/29/12	10/12/12
113.	<a href="#"><u>Project 2009-01 - Disturbance and Sabotage Reporting</u></a>	Comment Form	8/29/12	9/27/12
114.	<a href="#"><u>PRC-006-SPP-01 - SPP Automatic Underfrequency Load Shedding</u></a>	Comment Form	8/15/12	9/28/12
115.	<a href="#"><u>Regional Reliability Standards - MRO Standards Process Manual</u></a>		8/28/12	10/11/12
116.	<a href="#"><u>Project 2008-06 - Cyber Security - Order 706 - CIP-002-5 through CIP-009-5, CIP-010-1, and CIP-011-1 (Version 5 CIP Standards)</u></a>	Comment Form	9/11/12	10/10/12
117.	<a href="#"><u>Revisions to Outstanding VRFs and VSLs</u></a>	Comment Form	9/5/12	10/19/12
118.	<a href="#"><u>Project 2013-01 - Cold Weather Preparedness</u></a>	Comment Form	9/25/12	10/24/12

119.	<a href="#">Regional Reliability Standard PRC-006-SPP-01</a>	No Comments Submitted	8/15/12	9/28/12
120.	<a href="#">Project 2007-09 - Generator Verification</a>	No Comments Submitted	9/28/12	10/29/12
121.	<a href="#">IRO-006-WECC-2</a>	No Comments Submitted	10/3/12	11/16/12
122.	<a href="#">Project 2010-17 - Definition of Bulk Electric System</a>	No Comments Submitted	10/4/12	11/5/12
123.	<a href="#">Project 2007-12 - Frequency Response</a>	Comment Form	10/5/12	11/7/12 (Changed from 11/5/12 because of Hurricane Sandy)
124.	<a href="#">Project 2010-11 - TPL Table 1, Footnote B</a>	Comment Form	10/5/12	11/19/12
125.	<a href="#">Project 2008-06 - Cyber Security - Order 706 - CIP-002-5 through CIP-009-5, CIP-010-1, and CIP-011-1 (Version 5 CIP Standards)</a>	Comment Form (VRFs and VSLs)	10/26/12	11/7/12 (Changed from 11/5/12 because of Hurricane Sandy)
126.	<a href="#">Project 2010-13.2 - Phase 2 of Relay Loadability: Generation - PRC-025</a>	Comment Form	10/5/12	11/7/12 (Changed from 11/5/12 because of Hurricane Sandy)
127.	Interpretation 2012-INT-02 - Interpretation of TPL-003-0a and TPL-004-0 for SPCS	Comment Form	10/22/12	12/5/12
128.	Project 2013-02 - Paragraph 81	Comment Form	10/25/12	12/10/12
129.	Project 2012-INT-05 - Interpretation of CIP-002-3 for OGE	Comment Form	11/6/12	12/20/12
130.	Project 2012-INT-06 - Interpretation of CIP-003-3 for Consumers Energy	Comment Form	11/9/12	12/10/12
131.	Project 2012-INT-04 - Interpretation of CIP-007-3 for ITC	Comment Form	11/9/12	12/10/12
132.	Project 2007-02 - Operating Personnel Communication Protocols - RSAW	Comment Form	11/14/12	12/13/12
133.	Project 2007-06 - System	Comment Form	11/16/12	12/17/12

	Protection Coordination			
134.	Standard Processes Manual to Implement SPIG Revisions	Comment Form	11/21/12	12/20/12
135.	<a href="#">Project 2010-14.1 - Phase 1 of Balancing Authority Reliability-based Controls: Reserves - BAL-012-1</a>	Comment Form	11/30/12	1/14/13
136.	<a href="#">Project 2010-11 - TPL Table 1, Footnote B</a>	Comment Form	12/10/12	1/11/13
137.	<a href="#">Project 2007-09 - Generator Verification - PRC-024-1</a>	Comment Form	12/12/12	1/11/13
138.	<a href="#">Reliability Guideline--Draft Generating Unit Winter Weather Readiness - Current Industry Practices</a>	Comment Form (to be sent to reliabilityguidelinecomments@nerc.net)	12/20/12	2/4/13

**Appendix D**

**NERC Compliance Application Notices (CANs) For Industry Review**

	<b><u>CAN Final Version -- Table 1</u></b>	<b><u>Industry Comment Analysis Spreadsheet</u></b> (ctrl+click)	<b><u>Comment Analysis Summary</u></b> (ctrl+click)	<b><u>Industry Comment Redline</u></b> (ctrl+click)	<b><u>Industry Comments Received</u></b> (ctrl+click)
1.	<a href="#"><u>CAN-0006: EOP-005 R7 Verification of Restoration Procedure (Revised)</u></a>	<a href="#"><u>AA1</u></a>	<a href="#"><u>BB1</u></a>	<a href="#"><u>CC1</u></a>	
2.	<a href="#"><u>CAN-0009: FAC-008 and FAC-009 Facility Rating and Design Specifications (Revised)</u></a>	<a href="#"><u>DD1</u></a>	<a href="#"><u>EE1</u></a>	<a href="#"><u>FF1</u></a>	
3.	<a href="#"><u>CAN-0017: CIP-007 R5 Technical and Procedural System Access and Password Controls</u></a>	<a href="#"><u>GG1</u></a>	<a href="#"><u>HH1</u></a>	<a href="#"><u>II1</u></a>	
4.	<a href="#"><u>CAN-0018: FAC-008 R1.2.1 Terminal Equipment (Revised)</u></a>	<a href="#"><u>JJ1</u></a>	<a href="#"><u>KK1</u></a>	<a href="#"><u>LL1</u></a>	
5.	<a href="#"><u>CAN-0008: PRC-005 R2 Basis for First Maintenance and Testing Date (Revised)</u></a>	<a href="#"><u>MM1</u></a>	<a href="#"><u>NN1</u></a>	<a href="#"><u>OO1</u></a>	
6.	<a href="#"><u>CAN-0010: Implementation of Annual in Reliability Standard Requirements (Revised)</u></a>	<a href="#"><u>PP1</u></a>	<a href="#"><u>QQ1</u></a>	<a href="#"><u>RR1</u></a>	
7.	<a href="#"><u>CAN-0011: PRC-005 R2 Interval Start Date for New Equipment (Revised 11-16-11 ); (Revised 6-15-12)</u></a>	<a href="#"><u>SS1</u></a>	<a href="#"><u>TT1</u></a>	<a href="#"><u>UU1</u></a>	
8.	<a href="#"><u>CAN-0012: Completion of Periodic Activity Requirements During Implementation Plan (Revised); (Revised 6-15-12)</u></a>	<a href="#"><u>VV1</u></a>	<a href="#"><u>WW1</u></a>	<a href="#"><u>XX1</u></a>	

	<b><u>CAN Final Version -- Table 1</u></b>	<b><u>Industry Comment Analysis Spreadsheet</u></b> (ctrl+click)	<b><u>Comment Analysis Summary</u></b> (ctrl+click)	<b><u>Industry Comment Redline</u></b> (ctrl+click)	<b><u>Industry Comments Received</u></b> (ctrl+click)
9.	<a href="#"><u>CAN-0013: PRC-023 R1 And R2 Effective Dates for Switch-On- To-Fault Schemes (Revised)</u></a>	<a href="#"><u>YY1</u></a>	<a href="#"><u>ZZ1</u></a>	<a href="#"><u>AAA1</u></a>	
10.	<a href="#"><u>CAN-0028: TOP-006 R1.2 Reporting Responsibilities (Revised)</u></a>	<a href="#"><u>BBB1</u></a>	<a href="#"><u>CCC1</u></a>	<a href="#"><u>DDD1</u></a>	
11.	<a href="#"><u>CAN-0005: CIP-002 R3 Critical Cyber Asset Designation for System Operator Laptops (Revised)</u></a>	<a href="#"><u>EEE1</u></a>	<a href="#"><u>FFF1</u></a>	<a href="#"><u>GGG1</u></a>	
12.	<a href="#"><u>CAN-0007: CIP-004 R4.2 Revocation of Access to Critical Cyber Assets (Revised)</u></a>	<a href="#"><u>HHH1</u></a>	<a href="#"><u>III1</u></a>	<a href="#"><u>JJJ1</u></a>	
13.	<a href="#"><u>CAN-0015: Unavailability of NERC Software Tools (Revised)</u></a>  It was determined that the SDT Project 2009-02: Real-Time Reliability Monitoring and Analysis Capabilities that was mentioned in CAN-0015 does not cover the material in this CAN; thus, that reference was removed.	<a href="#"><u>KKK1</u></a>	<a href="#"><u>LLL1</u></a>	<a href="#"><u>MMM1</u></a>	
14.	<a href="#"><u>CAN-0022: VAR-002 R1 and R3: Generator AVR Operation in Alternative Mode (Revised)</u></a>	<a href="#"><u>NNN1</u></a>	<a href="#"><u>OOO1</u></a>	<a href="#"><u>PPP1</u></a>	

	<b><u>CAN Final Version -- Table 1</u></b>	<b><u>Industry Comment Analysis Spreadsheet</u></b> (ctrl+click)	<b><u>Comment Analysis Summary</u></b> (ctrl+click)	<b><u>Industry Comment Redline</u></b> (ctrl+click)	<b><u>Industry Comments Received</u></b> (ctrl+click)
15.	<a href="#"><u>CAN-0026: TOP-006 R3 Protective Relays (Revised)</u></a>	<a href="#"><u>QQQ1</u></a>	<a href="#"><u>RRR1</u></a>	<a href="#"><u>SSS1</u></a>	
16.	<a href="#"><u>CAN-0029: PRC-004 R1 – R3 Protection System Misoperations</u></a>	<a href="#"><u>TTT1</u></a>	<a href="#"><u>UUU1</u></a>	<a href="#"><u>VVV1</u></a>	
17.	<a href="#"><u>CAN-0030: Attestations</u></a> (See Item 4 in Table 2 following)	<a href="#"><u>WWW1</u></a>	<a href="#"><u>XXX1</u></a>	<a href="#"><u>YYY1</u></a>	
18.	<a href="#"><u>CAN-0031: CIP-006 R1 Acceptable Opening Dimensions</u></a>	<a href="#"><u>ZZZ1</u></a>	<a href="#"><u>AAAA1</u></a>	<a href="#"><u>BBBB1</u></a>	
19.	<a href="#"><u>CAN-0039: EOP-004 R3 Filing DOE Form OE-417 Event Reports</u></a>	<a href="#"><u>CCCC1</u></a>	<a href="#"><u>DDDD1</u></a>	<a href="#"><u>EEEE1</u></a>	
20.	<a href="#"><u>CAN-0020: TPL-002, TPL-003, TPL-004 and TOP-002 Equipment Outages</u></a>	<a href="#"><u>FFFF1</u></a>	<a href="#"><u>GGGG1</u></a>	<a href="#"><u>HHHH1</u></a>	
21.	<a href="#"><u>CAN-0024: CIP-002 R3 Routable Protocols and Data Diode Devices</u></a>	<a href="#"><u>IIII1</u></a>	<a href="#"><u>JJJJ1</u></a>	<a href="#"><u>KKKK1</u></a>	
22.	<a href="#"><u>CAN-0027: TOP-003 R2 Coordination of Scheduled Outages</u></a>	<a href="#"><u>LLLL1</u></a>	<a href="#"><u>MMMM1</u></a>	<a href="#"><u>NNNN1</u></a>	<a href="#"><u>OOOO1</u></a>
23.	<a href="#"><u>CAN-0040: BAL-003 Calculating Frequency Bias</u></a>			<a href="#"><u>PPPP1</u></a>	
24.	<a href="#"><u>CAN-0043: PRC-005 Protection System Maintenance and Testing Evidence</u></a>			<a href="#"><u>QQQQ1</u></a>	

	<b><u>Draft CANs--Table 2</u></b>	<b><u>Industry Comment Analysis Spreadsheet</u></b> (ctrl+click)	<b><u>Comment Analysis Summary</u></b> (ctrl+click)	<b><u>Industry Comments Received</u></b> (ctrl+click)	<b><u>Industry Comment Redline</u></b> (ctrl+click)
1.	<a href="#"><u>DRAFT CAN-0029: PRC-004 R1, R2 and R3 Protection System Misoperations</u></a>	<a href="#"><u>AA2</u></a>	<a href="#"><u>BB2</u></a>		
2.	<a href="#"><u>DRAFT CAN-0039: EOP-004-1 Filing DOE Form OE-417 Event Reports</u></a>	<a href="#"><u>CC2</u></a>	<a href="#"><u>DD2</u></a>		
3.	<a href="#"><u>DRAFT CAN-0031: CIP-006 R1 Acceptable Opening Dimensions</u></a>	<a href="#"><u>EE2</u></a>	<a href="#"><u>FF2</u></a>		
4.	<a href="#"><u>DRAFT CAN-0030: Attestations</u></a>	<a href="#"><u>GG2</u></a>	<a href="#"><u>HH2</u></a>	<a href="#"><u>II2</u></a>	
5.	<a href="#"><u>DRAFT CAN-0024: CIP-002 R3 Routable Protocols and Data Diode Devices</u></a>	<a href="#"><u>JJ2</u></a>	<a href="#"><u>KK2</u></a>	<a href="#"><u>LL2</u></a>	<a href="#"><u>LL22</u></a>
6.	<a href="#"><u>DRAFT CAN-0040: BAL-003 R2 and R5 Frequency Bias Calculation</u></a>	<a href="#"><u>MM2</u></a>	<a href="#"><u>NN2</u></a>	<a href="#"><u>OO2</u></a>	
7.	<a href="#"><u>DRAFT CAN-0043: PRC-005 Protection System Maintenance and Testing Evidence</u></a>	<a href="#"><u>PP2</u></a>	<a href="#"><u>QQ2</u></a>	<a href="#"><u>RR2</u></a>	
8.	<a href="#"><u>DRAFT CAN-0034: EOP-002 R1, IRO-001 R2 and TOP-001 R1 Use of Authority Letters</u></a>	SS2	TT2	<a href="#"><u>UU2</u></a>	
9.	<a href="#"><u>DRAFT CAN-0038: PER-002 R4 Training Hours</u></a> Removed from the development process 5/18/12.	VV2	WW2	<a href="#"><u>XX2</u></a>	
10.	<a href="#"><u>DRAFT CAN-0019 CIP-007 R3.2 Implementation of Software Security Patches or Upgrades</u></a>	YY2	ZZ2	<a href="#"><u>AAA2</u></a>	



11.	<u>DRAFT CAN-0023 CIP-005 R3 CIP-006 R6 Logging System Failures</u>	BBB2	CCC2	<u>DDD2</u>	
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